

**ECE 495N EXAM II****Friday, Nov.6, 2009**NAME : SOLUTION

PUID # : \_\_\_\_\_

**CLOSED BOOK****Useful relations**

$h(\vec{k}) = \sum_m [H_{nm}] \exp(i\vec{k} \cdot (\vec{d}_m - \vec{d}_n))$	<i>Bandstructure</i>
$D(E) = \sum_k \delta(E - \varepsilon(\vec{k}))$	<i>Density of states</i>
$M(E) = \sum_k \delta(E - \varepsilon(\vec{k})) \pi \hbar v_z(\vec{k}) / L$	<i>Density of modes</i>
$C_Q = q^2 \int_{-\infty}^{+\infty} dE \left( -\frac{\partial f}{\partial E} \right) D(E)$	<i>Quantum Capacitance</i>
$G_B = \frac{q^2}{h} \int_{-\infty}^{+\infty} dE \left( -\frac{\partial f}{\partial E} \right) M(E)$	<i>Ballistic Conductance</i>
$f(E) = \frac{1}{e^{(E-\mu)/kT} + 1}$	<i>Fermi function</i>
$\hbar v_z = \frac{\partial \varepsilon}{\partial k_z}$	<i>Group velocity</i>

**Please show all work and write your answers clearly.****This exam should have six pages.**

<b>Problem 1</b>	<b>[p. 2]</b>	<b>8 points</b>
<b>Problem 2</b>	<b>[p. 3,4]</b>	<b>9 points</b>
<b>Problem 3</b>	<b>[p. 5,6]</b>	<b>8 points</b>

<b>Total</b>	<b>25 points</b>
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**Problem 1:** We have seen in class that for a periodic solid with lattice spacing 'a' and

$$H_{n,n} = \varepsilon, H_{n,n+1} = t \text{ and } H_{n,n-1} = t$$

(all other  $H_{n,m}$  being zero) the energy eigenvalues are given by  $E = \varepsilon + 2t \cos ka$ .

How will this  $E(k)$  relation be modified

if  $H_{n,n} = \varepsilon, H_{n,n+1} = t, H_{n,n-1} = t, H_{n,n+2} = t', H_{n,n-2} = t'$  (all other  $H_{n,m}$  being zero) ?

$$E = \varepsilon + t \left( e^{ika} + e^{-ika} \right) + t' \left( e^{i2ka} + e^{-i2ka} \right)$$

$$= \varepsilon + 2t \cos ka + 2t' \cos 2ka$$

$$\sum_m H_{nm} e^{ik(m-n)a}$$

**Problem 2:** Consider a large two-dimensional conductor with a parabolic dispersion relation

$$\varepsilon(\vec{k}) = E_c + \frac{\hbar^2(k_x^2 + k_y^2)}{2m}$$

with an equilibrium electrochemical potential  $\mu - E_c \gg k_B T$ .

a) Find the density of states  $D(E)$ . Your answer should be in terms of  $E_c$ ,  $m$ , length  $L$ , width  $W$  and fundamental constants.

b) If this conductor is used as one plate of a parallel plate capacitor with insulator thickness "t", find "t" such that the quantum capacitance equals the electrostatic capacitance  $\varepsilon_0 \varepsilon_r LW / t$ ,  $\varepsilon_0 \varepsilon_r$  being the permittivity of the insulator.

$$\begin{aligned}
 a) \quad D(E) &= \sum_{\vec{k}} \delta(E - \varepsilon(\vec{k})) \\
 &= \int_0^{2\pi} d\theta \int_0^{\infty} dk k \cdot \frac{LW}{4\pi^2} \delta(E - \varepsilon) \\
 &= \frac{LW}{2\pi} \int_{E_c}^{\infty} \frac{d\varepsilon m}{\hbar^2} \delta(E - \varepsilon) \\
 &= \frac{m LW}{2\pi \hbar^2} \Theta(E - E_c)
 \end{aligned}$$

$$b) C_Q = q^2 \int dE \left( -\frac{\partial f}{\partial E} \right) D(E)$$

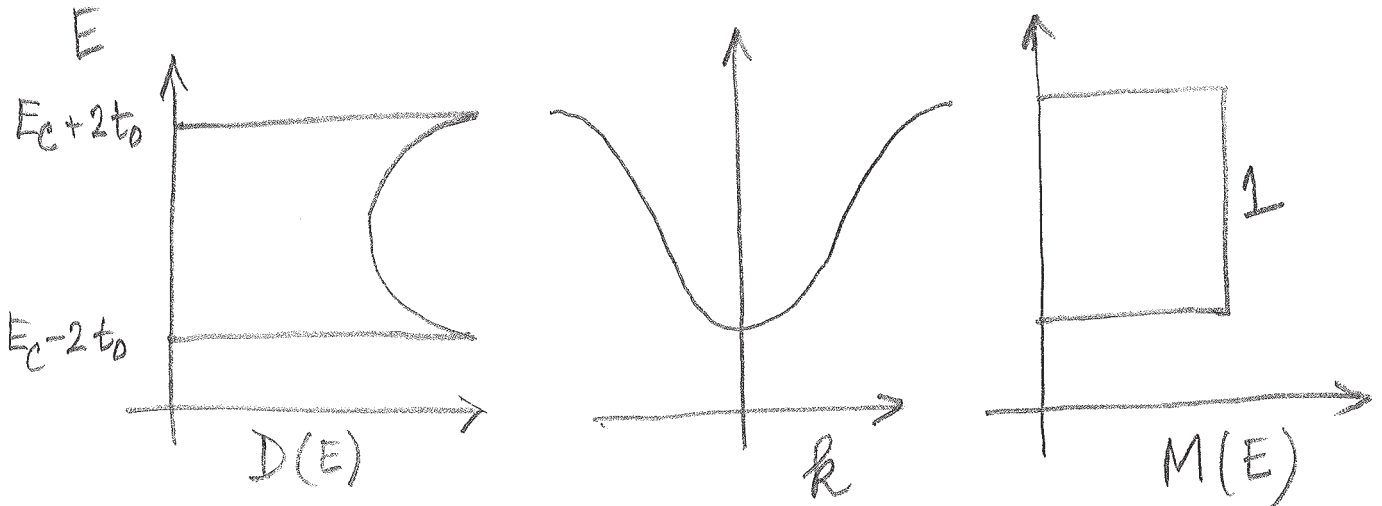
$$= \frac{m q^2}{2\pi \hbar^2} \cdot \cancel{LW} = \frac{\epsilon_r \epsilon_0 \cancel{LW}}{t}$$

$$t = \frac{\epsilon_r \epsilon_0 \cdot 2\pi \hbar^2}{m q^2}$$

**Problem 3:** Consider a long one-dimensional conductor with a cosine dispersion relation ( $t_0 > 0$ )

$$\varepsilon(k) = E_c - 2t_0 \cos(ka)$$

*Find and sketch* a) the density of modes  $M(E)$  and b) the density of states  $D(E)$ . Your answer should be in terms of the length  $L$ ,  $a$ ,  $t_0$ ,  $E$ ,  $E_c$  and fundamental constants.



$$D(E) = 2 \int_0^{\pi/a} \frac{dk \cdot L}{2\pi} \delta(E - \varepsilon)$$

$$= \frac{L}{\pi} \int_{E_c - 2t_0}^{E_c + 2t_0} dE \frac{dk}{dE} \delta(E - \varepsilon) = \frac{L}{\pi \hbar v(E)}$$

where  $\hbar v = 2at_0 \sin ka$

$$= 2at_0 \sqrt{1 - \cos^2 ka}$$

$$\uparrow$$

$$\left( \frac{E - E_c}{2t_0} \right)^2$$

$$= a \sqrt{(2t_0)^2 - (E - E_c)^2}$$

$$D(E) = \frac{L}{\pi \hbar a} \frac{1}{\sqrt{(2t_0)^2 - (E - E_c)^2}}$$

$$M(E) = 2 \int_0^{\pi/a} \frac{dk \cdot L}{2\pi} \delta(E - \varepsilon) \cdot \frac{\pi \hbar v}{L} \frac{d\varepsilon}{dk}$$

$$= \int_{E_c - 2t_0}^{E_c + 2t_0} d\varepsilon \delta(E - \varepsilon)$$

$$= \Theta(E - \overline{E_c + 2t_0})$$

$$- \Theta(E - \overline{E_c - 2t_0})$$